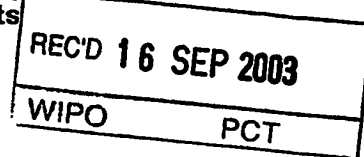




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Page 2 de l'attestation**

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Process for the preparation of 1-3,4-dihydroxyphenylalanine by aerobic fermentation of a microorganism

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PROCESS FOR THE PREPARATION OF L-3,4-DIHYDROXYPHENYLALANINE BY
AEROBIC FERMENTATION OF A MICROORGANISM.

5

The invention relates to a process for the preparation of L-3,4-dihydroxyphenylalanine by aerobic fermentation of a microorganism having L-tyrosine-3-hydroxy-mono-oxygenase activity.

10 L-3,4-dihydroxyphenylalanine is also known as L-dopa and is used amongst others in pharmaceuticals for treatment of Parkinson's disease.

A process for the production of L-dopa by aerobic fermentation of a microorganism is disclosed by Lee *et al.* (1998) Biotechn. Lett., Vol 20, p 479-482. In said process, L-dopa is produced from L-tyrosine by incubation of *Escherichia coli* cells having 4-hydroxyphenylacetate 3-hydroxylase activity with L-tyrosine under gentle
15 shaking. The process was performed with wild type *E. coli* strain ATCC 11105 cells possessing 4-hydroxyphenylacetate 3-hydroxylase activity and with the cells of a recombinant *E. coli* DH1 (pAJ221) strain constitutively expressing the *hpaBC* genes encoding a 4-hydroxyphenylacetate 3-hydroxylase and an FADH₂-NAD
20 oxidoreductase. A drawback of this process is that in the aqueous solution used in these fermentations, L-DOPA is not very stable and is readily oxidized to form black or brown polymerization products.

It is the object of the invention to provide a process for the fermentative production of stable L-dopa.

This object is achieved by the invention by providing a process for the
25 preparation of L-3,4-dihydroxyphenylalanine, comprising (i) a fermentation, comprising a growth phase and a production phase, wherein L-3,4-dihydroxyphenylalanine is produced from a carbon source in a fermentation medium by aerobic fermentation of a microorganism having L-tyrosine-3-hydroxy-mono-oxygenase activity and at least the metabolic pathways: glycolysis, pentose phosphate pathway, aromatic amino acid
30 pathway, or derivative pathways thereof and (ii) a downstream processing phase, in which the produced L-3,4-dihydroxyphenylalanine is extracted from the fermentation medium and reextracted into a reextraction mixture and in which process the pH of the fermentation medium and/or of the reextraction mixture containing L-3,4-dihydroxyphenylalanine is between 1-7 during at least part of the process.

35 It has surprisingly been found that with the process of the invention stable L-dopa can be produced from a carbon source in a commercially attractive way. The one-step process of the invention is an attractive process for the production of

L-dopa, both for practical and for economical reasons, because for example:

1. No intermediate purification steps are needed (having the e.g. the advantages that there will be less loss of product and therefore a higher yield, that there will be less unit operations needed and therefore the process is economically more attractive etc.)
2. Stable L-dopa is produced.
3. A cheap and readily available carbon source, e.g. glucose can be used instead of the cost intensive L-tyrosine, which was used in the process of Lee *et al.* (1998).

10 In one embodiment of the invention, the pH of the fermentation medium is kept between 1-7; preferably between 4-7, more preferably between 5-7, in particular between 5.5-6.8, most in particular between 6-6.5 during at least part of the fermentation. The fermentation typically comprises a growth phase and a production phase. The 'growth phase' of a fermentation is the phase in which the biomass concentration of the microorganism containing fermentation medium increases. The biomass concentration can be determined by measurement of the optical density of the fermentation broth, i.e. the fermentation medium including the cells of the microorganism, at 620 nm (OD_{620}). The 'production phase' of a fermentation is the phase in which the product, in this case L-dopa, is produced. The growth and production phase can occur one after the other, but in practice the growth and production phase overlap. Preferably, in this embodiment of the invention, the pH of the fermentation medium is kept between 1-7, preferably between 4-7, more preferably 5-7, in particular between 5.5-6.8, most in particular between 6-6.5 during preferably at least 50 % (in time), more preferably at least 65%, even more preferably 80%, in particular 90% of the production phase and most in particular during the entire production phase of the fermentation.

20 In another embodiment of the invention, the pH of the reextraction mixture used in the downstream processing phase is kept between 1-7, preferably between 4-7, more preferably 5-7, in particular between 5.5-6.8, most in particular between 6-6.5 during at least part of the downstream processing phase, preferably during at least 50 % (in time), more preferably at least 65%, even more preferably at least 80%, in particular during at least 90% of the downstream processing phase and most in particular during the entire downstream processing phase.

30 Extraction of L-dopa from the fermentation medium can be performed using standard purification techniques, for example by separation of L-dopa from the fermentation medium via ion exchange resins, chromatography processes, adsorption,

filtration, evaporation, reverse osmosis, electrodialysis, etc. A very good way of extracting L-dopa from the fermentation medium is by using adsorption resins to which L-dopa can bind and by subsequently eluting the bound L-dopa from the resins with a suitable reextraction mixture, for example with methanol/HCl (pH = 2). Examples of
5 resins, which are capable of binding L-dopa are resins with a hydrophobic interactive surface, for example the adsorption resins XAD-4, XAD-7, XAD-16, XAD-1180 and XAD-2010. These XAD-resins, are commercially available from for example Sigma. Preferably, XAD-16 and XAD-1080 are used for the binding of L-dopa.

Extraction of L-dopa from the fermentation medium can be performed
10 after the fermentation has been stopped, but preferably, L-dopa is extracted during the production phase of the fermentation by so-called *in-situ* product recovery techniques. The use of *in situ* product recovery techniques for the extraction of L-dopa has the advantage that it is possible to prevent a potential product inhibition in the fermentation. In *in situ* product recovery, the fermentation medium containing the product (in case of
15 the invention L-dopa) and the cells of the microorganism is pumped over one or more separating devices during at least part of the production phase of the fermentation, preferably during the entire production phase of the fermentation, thereby separating the product from the fermentation medium and the cells. The cells and fermentation medium are recycled back for use in the fermentation. Typically in such an *in situ*
20 product recovery, first the fermentation medium containing the product is pumped over a filter to separate the cells from the fermentation medium before the product is extracted from the remaining fermentation medium. The cells and fermentation medium are recycled for use in the fermentation. Preferably, in the process according to the invention, the fermentation medium containing L-dopa is pumped over a filter to
25 separate the cells from the fermentation medium, after which the medium is pumped over a second filter to separate the proteins from the other dissolved compounds in the fermentation medium and after which L-dopa is extracted from the remaining fermentation medium.

In *in situ* product recovery L-dopa can for example be extracted by
30 adsorption to different adsorption resins (such as the resins mentioned above) or by extraction into an extraction mixture. Elution of the resins with a suitable reextraction mixture or reextraction of the L-dopa from the extraction mixture with a suitable reextraction mixture, will give purified L-dopa in the reextraction mixture. Preferably, *in situ* product recovery comprises the steps of pumping the fermentation broth
35 comprising L-dopa over a filter to separate the cells from the fermentation medium, extracting L-dopa from the fermentation medium by reactive extraction to an extraction

mixture and, transferring L-dopa into a reextraction mixture by reextraction and, recycling of the cells and remaining fermentation medium to the fermentation. A particularly suitable form of downstream processing is reactive extraction and reextraction described in WO 00/66253, which is hereby incorporated by reference. In
5 this document the extraction and reextraction of organic substances containing at least one positively charged and/or chargeable nitrogenous group from an aqueous mixture is effected by making use of an extraction agent which contains at least organic compounds of 12 to 18 C-atoms and at least one cation exchanger and by making use of a membrane that is wettable by either the aqueous mixture or by the extraction
10 agent and by reextraction of the organic substances from the extraction agent into an aqueous phase. Preferably, in the process according to the invention, L-dopa is extracted from the fermentation medium by using the reactive extraction method as described in Maass *et al.* (2002) Bioprocess. Biosyst. Eng., p85-96. Said reactive extraction consists of an organic kerosene phase with the cation selective carrier
15 D₂EHPA (di-2-ethylhexyl-phosphonic acid). The organic phase is separated from the fermentation medium containing L-dopa by a membrane through which L-dopa can be extracted into the organic phase. The organic phase containing L-dopa is subsequently contacted via a membrane with an aqueous stripping phase, including sulphuric acid, and L-dopa is reextracted into the aqueous stripping phase. Preferably, in the process
20 according to the invention, the aqueous stripping phase (i.e. the second mixture) has a pH between 1-7, preferably between 4-7, more preferably 5-7, in particular between 5.5-6.8, most in particular between 6-6.5.

A specifically suitable reactive extraction for the extraction of L-dopa from the fermentation medium is extraction and/or reextraction of L-dopa with so called
25 liquid-liquid centrifuges, optionally combined with other reactive extraction techniques.

In yet another embodiment of the invention the pH is kept between 1-7, preferably between 4-7, more preferably 5-7, in particular between 5.5-6.8, most in particular between 6-6.5 during at least part of the fermentation and during at least part of the downstream processing phase. Preferably, the pH is kept between 1-7,
30 preferably between 4-7, more preferably 5-7, in particular between 5.5-6.8, most in particular between 6-6.5 during at least 50% (in time), more preferably at least 65%, even more preferably at least 80%, in particular 90%, most in particular during 100% of the production phase of the fermentation and during at least 50% (in time), more preferably at least 65%, even more preferably at least 80%, in particular 90%, most in
35 particular during 100% of the downstream processing phase, preferably, the pH of the reextraction mixture is kept between 1-7, preferably between 4-7, more preferably 5-7,

in particular between 5.5-6.8, most in particular between 6-6.5.

With "extraction mixture" is meant a solution, which is suitable for the extraction of L-dopa from the fermentation medium.

5 With 'reextraction mixture' is meant a solution, which is suitable for the extraction of L-dopa from the adsorption resins or extraction medium to which L-dopa has been extracted from the fermentation medium.

10 With 'glycolysis or derivative pathways thereof' is meant the ability of the microorganism to convert glucose or another carbon source into phosphoenolpyruvate (PEP). With 'pentose phosphate pathway or derivative pathway thereof' is meant the ability of the microorganism to convert glucose or another carbon source into erythrose 4-phosphate (E4P). A general description of the glycolysis and the pentose phosphate pathway can be found in Stryer, Biochemistry fourth edition 1995, W. H. Freeman and Company, New York. With 'aromatic amino acid pathway or derivative pathway thereof' is meant the ability of the microorganism to convert PEP and E4P into
15 L-phenylalanine, L-tyrosine and L-tryptophan. Preferably, the aromatic amino acid pathway is engineered such that L-tyrosine is overproduced. Engineering can for example be performed as described in a review on engineering the aromatic amino acid pathway by Bongaerts *et al.* (2001), Metabolic Engineering 3:289-300.

20 For example, the overproduction of L-tyrosine can be achieved by one of the following measures: the absence of a gene encoding a chorismate mutase/prephenate dehydratase (e.g. by deletion of the gene in the microorganism), overexpression of the gene encoding chorismate/prephenate dehydrogenase or by inhibition of the pathway to shikimic acid.

25 Examples of genes encoding a chorismate mutase/prephenate dehydratase are *pheA* from *Escherichia coli*, *pheA* from *Erwinia herbicola*, *pheA* from *Haemophilus influenza* etc. For example in the microorganism, the gene coding for this enzyme can be deleted by knock-out methods known to the person skilled in the art. Knock-out methods for the inactivation of chromosomal genes in *Escherichia coli* K-12 are for example described by Datsenko *et al.* (2000); Proc. Natl. Acad. Sci. USA, Vol.
30 97: p 6640-6645.

An example of a gene encoding chorismate mutase /prephenate dehydrogenase is the *tyrA* gene from *Escherichia coli*.

The pathway to shikimate can for example be inhibited in *E.coli* by disruption of the global regulator *tyrR*.

35 In the process according to the invention, with 'L-tyrosine-3-hydroxy-mono-oxygenase activity' is meant the ability to catalyze the hydroxylation of L-tyrosine

on the 3-position. Examples of enzymes having this ability are: mono-oxygenases or hydroxylases, for example 4-hydroxyphenylacetate 3-hydroxylase. In a preferred embodiment of the invention L-tyrosine-3-hydroxy-mono-oxygenase activity is an enzyme with hydroxylase activity, more preferably an enzyme with 4-hydroxyphenylacetate 3-hydroxylase activity such as described by Xun *et al.* (2000), Appl. Environ. Microbiol. Vol. 66 (2), p 481-486. 4-hydroxyphenylacetate 3-hydroxylase activity is the ability to oxidize L-tyrosine to L-3,4-dihydroxyphenylalanine with the co-consumption of molecular oxygen (O_2) and reduced flavin adenine dinucleotide ($FADH_2$) (see also Xun et al., 2000, Appl. and Envir. Microbiology, 66 (2) pp 481-486 and figure 1 below)

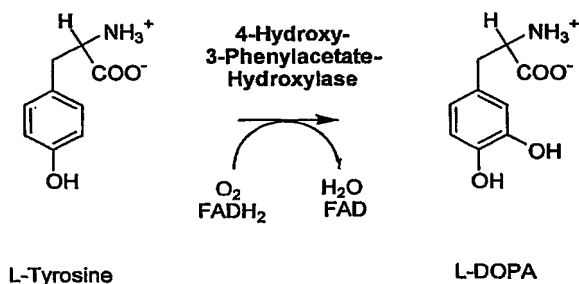


fig. (1)

If the microorganism used in the invention does not naturally produce L-tyrosine-3-hydroxy-mono-oxygenase activity, the microorganism can be altered such as to produce this activity, for example by cloning and expression of a gene encoding an enzyme with 4-hydroxyphenylacetate 3-hydroxylase activity (also known as 4-hydroxyphenylacetate 3-hydroxylase) into a suitable vector into the microorganism. Examples of genes encoding L-tyrosine-3-hydroxy-mono-oxygenases are: *PheA* encoding phenol hydroxylase from *Bacillus thermoleovorans*, *HpaA* encoding 4-hydroxyphenylacetate 3-hydroxylase from *Klebsiella pneumonia*, *hpaB* encoding 4-hydroxyphenylacetate 3-hydroxylase from *Escherichia coli*. Preferably, the gene encoding a 4-hydroxyphenylacetate 3-hydroxylase is the *hpaB* gene from Escherichia coli ATCC 11105.

Preferably, in the process according to the invention a microorganism is used that also expresses a gene encoding a $FADH_2$ -NAD-oxidoreductase. $FADH_2$ -NAD-oxidoreductase enhances the activity of 4-hydroxyphenylacetate 3-hydroxylase (Xun *et al.* (200) Appl. Environ. Microbiol. vol 66: p 481-486). If the microorganism does not naturally have a gene encoding $FADH_2$ -NAD-oxidoreductase or if the expression of the gene is too low, the microorganism can be altered such as to express this gene.

For example, if the gene is not naturally present in the microorganism, the gene encoding FADH₂-NAD-oxidoreductase can be cloned in a suitable vector and introduced and subsequently expressed in the microorganism. Genes encoding FADH₂-NAD-oxidoreductase are for example described in Galan *et al.* (2000),
5 J.Bacteriol. vol 182: p 627-636, for example the *hpaC* gene from *Escherichia coli* ATCC 11105B, the *fre* gene from *Escherichia coli*, the *hpaH* gene from *K.pneumoni*, the *hdaB* gene from *B. pickettii* etc. .

Preferably, the genes encoding a 4-hydroxyphenylacetate 3-hydroxylase and a FADH₂-NAD-oxidoreductase are overexpressed in the
10 microorganism. Overexpression can be achieved by methods known to the person skilled in the art, e.g. by introducing one or more copies of the gene into the microorganism (e.g. on a multicopy vector or directly into the genome) and/or by placing a suitable promoter before the said gene.

Preferably, in the process according to the invention, a
15 microorganism is used, which overexpresses a gene encoding a feed-back resistant 3-desoxy-D-arabino-heptulosonate-7-phosphate (DAHP) synthase. Preferably, such a microorganism is obtained by deletion of the wild type gene encoding a feed-back regulated 3-desoxy-D-arabino-heptulosonate-7-phosphate synthase in the genome of the microorganism and by complementation of the deletion by a gene encoding an
20 feed-back resistant 3-desoxy-D-arabino-heptulosonate-7-phosphate synthase. For instance, the deletion of the *aroF* wild type gene encoding an L-tyrosine feed-back regulated 3-desoxy-D-arabino-heptulosonate-7-phosphate synthase in *E. coli* and the subsequent complementation of the deleted gene by the *E. coli* gene *aroF*^{FBR} encoding an L-tyrosine feed-back resistant 3-desoxy-D-arabino-heptulosonate-7-phosphate
25 synthase, is described by Jossek *et al.* (2001), FEMS Microbiol. Lett. Vol. 202: p 145-148.

Cloning of a gene with a known sequence into a suitable vector, introduction into the host microorganism and expression of the gene to produce the desired enzyme (e.g. 4-hydroxyphenylacetate 3-hydroxylase) are standard techniques,
30 which are known to the person skilled in the art (e. g., as described in Sambrook, J., Fritsh, E. F., and Maniatis, T. Molecular Cloning: A Laboratory Manual.2nd, ed., Cold Spring Harbor Laboratory, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY, 1989).

Suitable vectors are the vectors normally used for cloning and
35 expression and are known to the person skilled in the art. Examples of suitable vectors for expression in *E. coli* are given e.g. in table 1 in Makrides, S.C., Microbiological

Reviews, (1996), Vol. 60.No. 3, p512-538. Suitable vectors for expression in *Bacillus* are for example described in Wang *et al.* (1992) Biotechn. Vol 22: p 339-347 and suitable vectors for expression in *Corynebacterium* are for example described in Deb *et al.* (1999) FEMS Microbiol. Lett. Vol 175(1): p 11-20.

5 For expression of the gene cloned into the vector, a promoter is usually located upstream of the cloning site in the vector containing the gene encoding the desired enzyme. Suitable promoters are for example the phage lambda PL promoter, the *E. coli* lac, trp and tac promoters, the SV40 early and late promoters and promoters of retroviral LTRs, to name a few.

10 Also suitable for use in the invention are promoters, which can be switched on and off, for example the *lac* promoter, the *ara* BAD promoter, the *tac* promoter, the T₇ promoter, the *trc* promoter and the *trp* promoter.

For overexpression, a strong promoter, for example the *E. coli* *tac* promoter can be used.

15 The choice of the vector can sometimes depend on the microorganism used as a host. If e.g. a vector with the *ara*BAD promoter is being used, an *E. coli* host strain that is unable to break down the arabinose inducer (*ara*^r), is strongly preferred.

20 With 'carbon source' is meant a compound, which can be converted by the microorganism into E4P and PEP.

Carbon sources which can suitably be used in the process according to the invention are: oligosaccharides and disaccharides, for example maltose, β -galactoside, melibiose, epimelibiose, galactinol, melibitol, galactosylglycerol and trehalose, hexoses, for example D-glucose, D-fructose, D-mannose, L-sorbose and D-galactose, amino sugars, for example N-acetyl-D-glucosamine and D-glucosamine, methylpentoses, for example L-fucose and L-rhamnose, pentoses and trioses, for example L-arabinose, D-arabinose, D-xylose, xylitol, D-lyxose, D-ribose, 2-deoxy-D-ribose and dihydroxyacetone, pentoses in nucleosides and deoxynucleosides, for example cytidine, deoxycytidine, adenosine, deoxyadenosine, uridine, xanthosine, 30 thymidine (deoxyuridine), purine (adenine, hypoxanthine, guanine ribonucleoside), hexuronides, hexuronates and hexonates, for example D-gluconate and D-galactonate, phosphorylated sugars and carboxylates, for example hexose phosphates, and *sn*-glycerol 3-phosphate, dicarboxylates, for example succinate, fumarate and L-malate, tricarboxylic acids, polyols, for example D-mannitol, D-glucitol, D-sorbitol, galactitol, dulcitol, D-arabitol, ribitol and xylitol, glycerol, two-carbon compounds and fatty acids, 35 for example acetate, fatty acids, glycolate and glyoxylate. Preferably, the used carbon

source is chosen from the group of: glucose, glycerol and gluconate.

Examples of microorganism genera, which can suitably be used in the invention are: *Escherichia*, preferably *Escherichia coli*, *Bacillus*, *Corynebacterium*. In the process of the invention, it is essential that the microorganism has L-tyrosine-3-hydroxy-mono-oxygenase activity; if the microorganism chosen does not naturally have this activity, the microorganism must be altered such that it does have this activity (see above). Preferably, the microorganism used is an *Escherichia coli* K12 strain, most preferably *Escherichia coli* W3110 or LJ110. E.g. a very suitable microorganism is *Escherichia coli* W3110 supplemented with the plasmids *pACYCtac aroF^{FBR} tyrA* and *pJF119EH hpaB hpaC*.

The person skilled in the art knows how to conduct a fermentation of a microorganism and knows which fermentation media are suitable for the microorganism. For example suitable fermentation media for fermentation of *Escherichia coli* are described in: Tanaka *et al.* (1967), J. Bact. 93:642-648, Pan *et al.* (1987), Biotechn. Lett. 9:89-94, Gerigk *et al.* (2002), Bioprocess and Biosystems Engineering 25:43-52.

The invention is illustrated by way of the following examples. However, these examples are not meant to restrict the invention.

20 Examples

Experimental Part

General procedures

Standard molecular cloning techniques such as DNA isolation, gel electrophoresis, enzymatic restriction modification of nucleic acids, *Escherichia coli* transformation etc. were performed as described by Sambrook *et al.*, 1989, "Molecular Cloning: a laboratory manual", Cold spring Harbor Laboratories, Cold Spring Harbor, New York. Synthetic oligo deoxynucleotides were obtained from MWG-Biotech AG, Ebersberg. DNA sequence analyses were performed using the chain termination method with dye-labeled dideoxy-terminators.

30

Plasmid pJF119EH *aroF^{FBR} tyrA* and pJF119EH *hpaBC*

As expression vector for the artificial operon encoding a feedback resistant (DAHP) synthase (*aroF^{FBR}*) and chorismate mutase / prephenate dehydrogenase (*tyrA*) and the vector consisting an operon 3-hydroxyphenylacetate-4-hydroxylase (*hpaB*) and a flavin NADH oxidoreductase (*hpaC*) plasmid pJF119EH was chosen. This vector, constructed by Fürste *et al.* (1986, Gene, 48: 119-131), is suitable

for protein expression in a variety of gram negative bacteria. The pJF119EH system uses the Isopropyl-beta-D-thiogalactopyranoside (IPTG) inducible *tac* promotor and the *lac* repressor system (*lacI^Q* gene), which allows to keep the expression of the cloned foreign gene in the absence of the inducer extremely low. Plasmid pJF119EH carries the origin colE1, which is compatible to the origin p15a of plasmid pACYC*tac*.

Gene *aroF^{fbr}* encoding a feedback resistant DAHP synthase originates from plasmid pJF *aroF^{fbr}* depicted in Jossek *et al.*, 2001, FEMS Microbiol Lett 202:145-148.

A feedback resistant DAHP synthase (AroF (N8K)) is achieved by substituting the amino acid asparagine at position eight of the L-tyrosine feedback sensitive DAHP synthase (AroF) by isoleucine (Jossek *et al.*, 2001, FEMS Microbiol Lett 202:145-148).

Gene *tyrA* originates from the wild type *Escherichia coli* strain W3110 (ATCC 27325) and the operon consisting of *hpaB* and *hpaC* originates from *Escherichia coli* ATCC 11105 (Davis *et al.*, 1951, Science 114: 459). The construction of the plasmids pJF119EH *aroF^{fbr} tyrA* and pJF119EH *hpaB hpaC* are described in examples 1 and 3.

Plasmid pACYC*tac aroF^{fbr} tyrA*

As expression vector for the genes encoding a feedback resistant (DAHP) synthase (*aroF^{fbr}*) and a chorismate mutase / prephenate dehydrogenase (*tyrA*) plasmid pACYC*tac* was used. Plasmid pACYC*tac* is based on the vector pACYC*tac* 184 (Chang and Cohen, 1978, J Bacteriol. 134; 1141 - 1156) which is suitable for protein expression in a variety of gram negative bacteria. The pACYC*tac*184 uses the Isopropyl-beta-D-thiogalactopyranoside (IPTG) inducible *tac* promotor and the *lac* repressor system (*lacI^Q* gene), which allows to keep the expression of the cloned foreign gene in the absence of the inducer extremely low. Plasmid pACYC*tac*184 carries the origin p15a, which is compatible to the origin of colE1 of pJF119EH.

Construction of pACYC*tac*

For construction of pACYC*tac*, pACYC*tac*184 was digested with *HindIII* plus *NruI* according to the instructions of the manufacturer (Invitrogen). By gel electrophoresis the 3300 base pair fragment was separated from the approximate 940 base pairs and the 3300 base pair fragment was purified from the agarose gel according to the instructions of the manufacturer (Qiagen).

Again with *HindIII* plus *NruI* plasmid pZY507 (Weisser *et al.*, 1995, J Bacteriol. 177; 3351-3345) was opened (according to the instructions of the manufacturer (Invitrogen)) and the smaller fragment of approximately 1600 base pairs was separated by gel

electrophoresis, eluted from the gel (according to the instructions of the manufacturer (Qiagen)) and ligated with T4 ligase according to the instructions of the manufacturer (Roche) with the approximately 3300 base pairs of the pACYC*tac*184 backbone resulting in plasmid pACYC*tac*.

5 The construction of plasmid pACYC*tac aroF^{thr} tyrA* is described in example 2.

Example 1: Construction of plasmid pJF119EH *aroF^{thr} tyrA*

10 The *tyrA* open reading frame (ORF) encoding the *Escherichia coli* chorismate mutase / prephenate dehydrogenase (encoded by nucleotides 5877 - 4740 of accession number AE000346) was amplified using 5' -
CTGACGGCTCTAGAGGCTTAAGTGATTTATTATGG – 3' (with *Xba*I restriction site underlined) and 5' - ATCAGCATGCACTGAATTCTTACTGGCGATTGTC – 3' (with
15 *Sph*I recognition and cleavage site underlined) as primers (provided by the supplier MWG), and chromosomal DNA of the wild type *Escherichia coli* strain W3110 as a template. The genomic DNA was isolated according to the manual of a commercial supplier (Macherery and Nagel).

 PCR was performed with the Platinum *Pfx* DNA Polymerase (provided by LifeTechnologies) according to the instructions of the manufacturer. The
20 resulting DNA amplification product, of approximately 1200 base pairs, was purified by gel extraction (Qiagen) and restricted with *Xba*I plus *Sph*I according to the manual of the supplier (Invitrogen) and then ligated with T4 ligase according to the instructions of the manufacturer into the vector pJF119EH *aroF^{thr}* (Jossek *et al.*, 2001, *FEMS Microbiol Lett* 202:145-148) according to the instructions of the supplier (Roche)); the
25 vector had already been digested with *Xba*I plus *Sph*I the same way. Transformation was effected into the strain DH5 α (provided by LifeTechnologies), with selection on LB Broth Base agar (1.5 %) plates with ampicilline (100 mg/l) according to the instructions of the manufacturer (Life technologies). Successful cloning was detected by
30 determining the correct sequence of the cloned *tyrA* gene. The plasmid revealing the correct sequence was designated pJF119EH *aroF^{thr} tyrA*.

Example 2: Construction of plasmid pACYC*tac aroF^{thr} tyrA*

 Plasmid pJF119EH *aroF^{thr} tyrA* was opened with *Mlu*I plus *Sph*I and the approximate 3000 base pair fragment was isolated by gel electrophoresis and then
35 purified. Plasmid pACYC*tac* was treated with *Mlu*I plus *Sph*I according to the instructions of the manufacturer (Invitrogen), resulting in a 4100 base pair fragment,

which was ligated (according to the instructions of the supplier (Roche)) with the 3000 base pair of pJF119EH *aroF^{thr} tyrA* treated with *MluI* plus *SphI*. Successful cloning was detected by determining the correct insert size. The plasmid revealing the correct insert size was called pACYC*tac aroF^{thr} tyrA*.

- 5 *E. coli* strain DH5 α / pACYC*tac aroF^{thr} tyrA*, *E. coli* DH5 α containing plasmid pACYC*tac aroF^{thr} tyrA* was deposited under the Budapest Treaty on 23 July 2002 with the DSMZ (Deutsche Sammlung von Mikroorganismen und Zellkulturen, Braunschweig, Germany) under number DSM15110.

10 Example 3: Construction of plasmid pJF119EH *hpaB hpaC*

- Escherichia coli* strain ATCC 11105 was obtained from the American Type Culture Collection (Manassas, VA, U.S.A.). For cultivation of *Escherichia coli* ATCC 11105 the following medium components were added in 1 l distilled water: 2.0 g yeast extract, 2.0 g Casein hydrolysate, 7.0g K₂HPO₄, 3.0 g KH₂PO₄, 0.5g Sodium
15 Citrate 3H₂O, 0.1 g MgSO₄ · 7H₂O, 1.0 g (NH₄)₂SO₄, 2.0 g Glucose (filter-sterilized). The final pH was adjusted to 7.0. The genomic DNA of *Escherichia coli* ATCC 11105 was isolated according to the instructions of a commercial genomic purification kit (Macherery and Nagel).

- The *hpaB* and *hpaC* open reading frames (ORFS) encoding the
20 *Escherichia coli* ATCC 11105 3-hydroxyphenylacetate-4-hydroxylase and a flavin NADH oxidoreductase (encoded by nucleotides 1112-2674 (*hpaB*) or 2692-3204 (*hpaC*) of accession Z29081) was amplified using 5' –
ATCGGGATCCGATTAATACTGTAGAGGTCGACATGA – 3' (with *BamHI* restriction site underlined) and 5' – AATGAAGCTTCGACGAATGCGTGAAGGGGC TGGAGC –
25 3' (with *HindIII* recognition and cleavage site underlined) as primers, and chromosomal DNA of the ATCC 11105 as a template. The resulting DNA amplification product, of approx. 2100 base pairs, was purified by gel electrophoresis, eluted from the gel according to the instructions of the manufacturer (Qiagen) and restricted with *BamHI* plus *HindIII* according to the instructions of the manufacturer (Invitrogen) and then
30 ligated with T4 ligase according to the instructions of the manufacturer (Roche) into the vector pJF119EH (Fürste et al. (1986, Gene, 48: 119-131)), which had already been treated the same way. Transformation was effected into the CaCl₂ treated competent strain DH5 α (provided by Life Technologies), with selection on LB Broth Base agar (1.5 %) plates with ampicilline (100 mg/l) according to the instructions of the manufacturer
35 (Life technologies). Successful cloning was detected by determining the gene

sequence. PCR was performed with the DNA Polymerase according to the instructions of the manufacturer (Roche).

E. coli strain DH5 α / pJF119EH *hpaB hpaC*, *E. coli* DH5 α containing plasmid pJF119EH *hpaB hpaC* was deposited under the Budapest Treaty on 23 July 5 2002 with the DSMZ under number DSM 15109.

Example 4: Construction of L-dopa producing strain

As a host for L-dopa production *Escherichia coli* K12 designated W3110 was chosen (ATCC 27325). Introduction and expression of a copy of genes 10 *aroF^{fb}* and *tyrA* provided on plasmid pACYC*tac aroF^{fb} tyrA* (example 2) into the W3110 strain leads to the production of the precursor of L-dopa, L-tyrosine.

Transformation of pACYC*tac aroF^{fb} tyrA* (example 2) was performed into the CaCl₂ treated competent strain W3110, with selection on LB Broth Base agar (1.5 %) plates with chloramphenicol (25 mg/l) according to the instructions of the 15 manufacturer (Life technologies). The constructed strain was designated W3110 pACYC*tac aroF^{fb} tyrA*.

To enable hydroxylation of L-dopa from L-tyrosine plasmid pJF119EH *hpaB hpaC* was transformed into the CaCl₂ treated competent strain W3110pACYC*tac aroF^{fb} tyrA* and clones were selected on LB Broth Base agar (1.5 %) plates with with 20 chloramphenicol (12.5 mg/l) and ampicilline (50 mg/l) according to the instructions of the manufacturer (Life technologies). The constructed was *E. coli* W3110/ pACYC*tac aroF^{fb} tyrA* / pJF119EH *hpaB hpaC*.

Example 5: Fermentative production of L-dopa from glucose in shake flasks

25 The mineral medium consisted of Na citrate·3H₂O (1.0 g·l⁻¹), MgSO₄·7H₂O (0.3 g·l⁻¹), KH₂PO₄ (3.0 g·l⁻¹), K₂HPO₄ (12.0 g·l⁻¹), NaCl (0.1 g·l⁻¹), (NH₄)₂SO₄ (5.0 g·l⁻¹), CaCl₂·2H₂O (15.0 mg·l⁻¹), FeSO₄·7H₂O (75.0 mg·l⁻¹), thiamine·HCl (vitamin B1) (5.0 mg·l⁻¹). Additional minerals were added in the form of a trace element solution, (1 ml·l⁻¹), which was composed of Al₂(SO₄)₃·18H₂O (2.0 g·l⁻¹), CoCl₂·6H₂O (0.7 30 g·l⁻¹), CuSO₄·5H₂O (2.5 g·l⁻¹), H₃BO₃ (0.5 g·l⁻¹), MnCl₂·4H₂O (20.0 g·l⁻¹) Na₂MoO₄·2H₂O (3.0 g·l⁻¹), NiSO₄·6H₂O (2.0 g·l⁻¹), ZnSO₄·7H₂O (15.0 g·l⁻¹). A stock solution of glucose monohydrate (500 g·l⁻¹) was autoclaved separately and added to the sterilized medium to a final concentration of 4 g·l⁻¹ glucose.

From a stock solution chloramphenicol (50 mg / ml), which was 35 solved in ethanol and sterile filtered, a final concentration chloramphenicol of 12.5 mg l⁻¹ was prepared. From a stock solution of ampicilline (50 mg / ml), which was dissolved

in water and sterile filtered a final concentration of 50 mg /l was prepared.

A single colony of *Escherichia coli* W3110 / pACYCtac *aroF^{br}* *tyrA* / pJF119EH *hpaB* *hpaC*, which was grown over night in mineral medium agar plates (see above for composition), in which 1,5% agar was added, was used to inoculate a 100 ml shake flask containing 10 ml of minimal medium and incubated at 33 °C for 16 hours. About 0.5 ml of this culture was subsequently used to inoculate 50 ml of the same medium in a 500ml shake flask and incubated at 33 °C and 180 rpm for 24 h. After 3 h, at an OD_{620nm} of approximately 0.2, the cells were induced by adding 0.1 mM Isopropyl-beta-D-thiogalactopyranoside (IPTG). After 24 h culture samples were taken for HPLC analysis of L-dopa concentration.

To perform the HPLC analysis, the HPLC 1100 system of Aegilent (Waldbronn, Germany) with a Diode Array Detector was used. The compounds were measured at a wavelength of 200 nm. A Nucleosil-120-5-C18 column (250x4 mm) from Macherey-Nagel was used as the solid phase. The column was eluted using a gradient starting with eluent A (10 mM H₃PO₄) and eluent B (100% acetonitril). Gradient: 0 min 2% B, 25 min up to 90% B, 27 min to 30 min 2% B. The elution rate was set at 1.2 ml/min, the column temperature was set at 40 °C.

To calibrate the HPLC for L-dopa, L-dopa was dissolved in pure water. Under these conditions, a retention time of 4.4 min was observed for L-dopa. HPLC analysis revealed the production of 30 mg/l L-dopa after 24 hours.

Example 6 Fermentative production of L-dopa from glucose by fed-batch fermentation

The mineral fermentation medium consisted of Na citrate·3H₂O (1.5 g·l⁻¹), MgSO₄·7H₂O (0.9 g·l⁻¹), KH₂PO₄ (3.0 g·l⁻¹), NaCl (1 g·l⁻¹), (NH₄)₂SO₄ (5.0 g·l⁻¹), CaCl₂·2H₂O (15 mg·l⁻¹), FeSO₄·7H₂O (112.5 mg·l⁻¹), thiamine·HCl (vitamin B1) (7.5 mg·l⁻¹). Additional minerals were added in the form of a trace element solution, (1.5 ml·l⁻¹), which was composed of Al₂(SO₄)₃·18H₂O (2.0 g·l⁻¹), CoCl₂·6H₂O (0.7 g·l⁻¹), CuSO₄·5H₂O (2.5 g·l⁻¹), H₃BO₃ (0.5 g·l⁻¹), MnCl₂·4H₂O (20.0 g·l⁻¹) Na₂MoO₄·2H₂O (3.0 g·l⁻¹), NiSO₄·6H₂O (2.0 g·l⁻¹), ZnSO₄·7H₂O (15.0 g·l⁻¹). A stock solution of glucose monohydrate (500 g·l⁻¹) was autoclaved separately and added to the sterilized medium to a final concentration of 20 g·l⁻¹ glucose.

From a stock solution chloramphenicol (50 mg /ml), which was solved in ethanol and sterile filtered, a final concentration chloramphenicol of 12.5 mg·l⁻¹ was prepared. From a stock solution of ampicilline (50 mg ·l⁻¹), which was dissolved in water and sterile filtered a final concentration of 50 mg·l⁻¹ was prepared.

A single colony of *Escherichia coli* W3110 / pACYCtac *aroF^{br}* *tyrA* /

pJF119EH *hpaB hpaC*, which was grown over night on LB Broth Base agar (1.5 %) plates with ampicilline (50 mg·l⁻¹) and cloramphenicol (12.5 mg·l⁻¹) was used to inoculate a 500 ml shake flask containing 50 ml of LB Broth Base with ampicilline (50 mg·l⁻¹) and cloramphenicol (12.5 mg·l⁻¹) and incubated at 33 °C until an OD_{620nm} of approximately 1.0 was reached. To the cultivation 50 ml of glycerol (85%) was added, the cells were filled in 2 ml cryogenic vials (Nalgene) and then stored at -70 °C.

For preparation of the preculture 1000 ml shake flasks containing 100 ml mineral medium (see example 5 for composition), except for a glucose concentration of 10 g·l⁻¹, was used. Shake flasks were inoculated with 100 µl of frozen glycerol cell stock of *E. coli* W3110/pACYC *tac aroF^{FBR} tyrA*/pJF119EH*hpaBhpaC* and incubated for 14 h at 33 °C. 200 ml of the preculture was used for inoculation of the fermentor.

Cultivations in a fermentor were conducted in a 2.0 L bioreactor (Labfors, Infors; Switzerland) with 10% inoculation; which was cultivated at 33 °C. The cultivation started at pH 6.7. The mineral fermentation medium (see composition above) was directly sterilized in the bioreactor together with the calibrated pH- and Oxygen-sensors.

pH was controlled by 17.5 % NH₄OH (1:2 diluted) and a 5 N KOH solution. A separate glucose feed (500 g·l⁻¹) was started when the OD_{620nm} was higher than 5. At OD_{620nm} 10, which was reached after about 14 hours Isopropyl-beta-D-thiogalactopyranoside (IPTG) was added to a final concentration of 0.1 mM. After 7.5 h after induction the pH of the medium was reduced from pH 6.7 in steps of pH 0.2 units within 30 minutes to a final pH 5.8.

Biomass (OD_{620nm}) and L-dopa concentrations were determined by HPLC analysis (see example 5 for HPLC conditions) as a function of time (see: Table 1). The L-dopa concentration at 43 hours was related to 10.

Table 1 Fermentation with pH-shift:

Time [h]	Biomass (OD _{620nm})	L-dopa concentration in [g/l]
15.75	15.6	0.18
16.75	20.3	1.3
17.75	22.9	1.8
19	25.7	2.7
20.5	27.4	5.3
22	26.7	6.8
24	26.5	9.5
39.25	33	9.6
43	33	10

Example 7. Comparative example fermentation without reduction of the pH

A fermentation was performed describes as in example 6 with the

5 following differences:

- The pH was not reduced
- At OD_{620nm} 5 (reached after 7 hours) IPTG was added.

Results of the fermentation are shown in Table 2 below. The L-dopa concentration reached after 32 hours was related to 10.

10

Table 2 Fermentation without pH-shift

Time [h]	Biomass (OD _{620nm})	L-dopa concentration in [g/l]
4.00	1.88	0
6.75	5.34	0
8.00	9.02	0
9.50	14.20	0.76
10.50	17.13	1.6
13.75	26.00	5.3
24.50	37.30	13
26.00	41.32	11
28.25	37.60	10.5
30.25	38.20	10.1
32.00	39.10	10

As can be concluded from Table 1 and Table 2, L-dopa is stable in

the fermentation wherein a pH-shift from pH 6.7 to pH 5.8 is applied during the fermentation, whereas L-dopa is less stable in the fermentation at pH 6.7, wherein no pH-shift is applied.

5 Example 8. Reactive extraction of L-dopa

A sample of the fermentation broth in which L-dopa is present, prepared as described in example 6, which had been adjusted to pH 7,5 (sample A), was used. 5 ml kerosene containing 10% D₂EHPA (pH acidic) was mixed vigorously with the same volume of sample A for 30 seconds on a vortex mixer. After separation
10 of the two phases (about 10 minutes) the water phase containing L-dopa, the extraction medium (sample B) was stored at room temperature. L-dopa dissolved in the organic phase was reextracted by mixing 2 ml of the organic phase with 1ml of 1M KCl (pH = 6.3) by shaking vigorously for 30s. After separation of the two phases (about 10 minutes), the water phase containing L-dopa, the reextraction medium (sample C) was
15 stored at room temperature. The samples A, B and C were stored at room temperature for 600 hours and L-dopa concentrations were determined at several points in time (up to 600 hours). L-dopa concentrations were determined by HPLC analysis as a function of time (see: Table 3).

20 Table 3:

Time [h]	L-dopa concentration in [in g / l] of untreated fermentation broth (sample A, pH = 7.5)	L-dopa concentration in [g/l] of water phase of fermentation broth extracted by kerosene / D ₂ EHPA (sample B, pH acidic)	L-dopa concentration in [g/l] of re-extracted organic phase by KCl (sample C, pH = 6.3)
24	0.7	0.8	0.3
96	0.7	0.7	0.3
168	0.6	0.7	0.3
336	0.5	0.8	0.4
408	0.4	0.7	0.4
504	0.4	0.8	0.4
600	0.3	0.7	0.4

From the results it can be concluded that without kerosene / D₂EHPA extraction the L-dopa concentration of fermentation broth decreases during the storage time due to the instability of L-dopa. After extraction of the fermentation broth with kerosene / D₂EHPA (acidic pH) decrease of concentration of L-dopa was nearly prevented, from which it can be concluded that extraction with kerosene / D₂EHPA stabilizes L-dopa. After re-extraction of L-dopa with KCl (pH = 6.3) no decrease of L-dopa concentration was observed, from which it can be concluded that re-extraction also increases the stability of L-dopa.

Example 9. Stability of L-dopa

A sample of the fermentation broth in which L-dopa is present, prepared as described in example 6, which had been adjusted to pH 7.5 (sample 1), pH 6.5 (sample 2), pH 5.5 (sample 3) and pH 4.5 (sample 4) was used.

The samples 1, 2, 3 and 4 were stored at room temperature for 600 hours and L-dopa concentrations were determined at several points in time (up to 600 hours). L-dopa concentrations were determined by HPLC analysis as a function of time (see: Table 4) with the sample D, i.e. fermentation broth at pH 4.5, related to 10.

Table 4:

Time [h]	fermentation broth at pH 7.5 (sample 1)	fermentation broth at pH 6.5 (sample 2)	fermentation broth at pH 5.5 (sample 3)	fermentation broth at pH 4.5 (sample 4)
24	6	7	9	10
96	6	7	9	10
168	5	7	9	10
336	4	5	9	10
408	3	5	9	10
504	3	4	8	10
600	2	4	8	10

Results presented in table 4 show that lower pH values of the fermentation broth increase the stability of L-dopa.

CLAIMS

1. Process for the preparation of L-3,4-dihydroxyphenylalanine, comprising (i) a fermentation, comprising
5 (i) a growth phase and a production phase, wherein L-3,4,-dihydroxyphenylalanine is produced from a carbon source in a fermentation medium by aerobic fermentation of a microorganism having L-tyrosine-3-hydroxy-mono-oxygenase activity and at least the metabolic pathways: glycolysis, pentose phosphate pathway, aromatic amino acid pathway, or
10 derivative pathways thereof and
(ii) a downstream processing phase, in which the produced L-3,4,-dihydroxyphenylalanine is extracted from the fermentation medium and reextracted into a reextraction mixture
and in which process the pH of the fermentation medium and/or of the
15 reextraction mixture containing L-3,4-dihydroxyphenylalanine is between 1-7 during at least part of the process.
2. Process according to claim 1, wherein the pH of the fermentation medium is between 1-7 during the entire production phase of the fermentation and/or during the entire downstream processing phase.
- 20 3. Process according to claim 1 or claim 2, wherein L-3,4,-dihydroxyphenylalanine is recovered from the fermentation medium by adsorption resins with a hydrophobic interactive surface and by subsequent elution of the bound L-3,4,-dihydroxyphenylalanine from the resins with a reextraction mixture.
- 25 4. Process according to claim 1 or claim 2, wherein L-3,4,-dihydroxyphenylalanine is recovered from the fermentation medium by *in situ* product recovery.
5. Process according to claim 4, wherein *in situ* product recovery comprises the steps of pumping the fermentation medium comprising L-3,4-
30 dihydroxyphenylalanine and the cells of the microorganism over a filter to separate the cells from the fermentation medium, extracting L-3,4-dihydroxyphenylalanine from the fermentation medium by reactive extraction and transferring L-3,4-dihydroxyphenylalanine into the reextraction mixture by reextraction and, recycling of the cells and remaining fermentation medium to
35 the fermentation.
6. Process according to any of claims 1-5, wherein L-tyrosine-3-hydroxy-mono-

- oxygenase activity is supplied by 4-hydroxyphenylacetate 3-hydroxylase.
7. Process according to any of claims 1-6, wherein the microorganism also expresses a gene encoding a FADH₂-NAD-oxidoreductase.
8. Process according to any of claims 1-7, wherein the genes encoding 4-hydroxyphenylacetate 3-hydroxylase and FADH₂-NAD-oxidoreductase are overexpressed in the microorganism.
9. Process according to any of claims 1-8, wherein the carbon source is chosen from the group of: glucose, glycerol and gluconate.
10. Process according to any of claims 1-9, wherein the microorganism is *Escherichia coli* W3110/pACYCtac *aroF^{FBR}* *tyrA*/pJF119EH *aroF^{FBR}* *tyrA*.

ABSTRACT

The invention relates to a process for the preparation of L-3,4-dihydroxyphenylalanine, comprising (i) a fermentation, comprising (i) a growth phase
5 and a production phase, wherein L-3,4-dihydroxyphenylalanine is produced from a carbon source in a fermentation medium by aerobic fermentation of a microorganism having L-tyrosine-3-hydroxy-mono-oxygenase activity and at least the metabolic pathways: glycolysis, pentose phosphate pathway, aromatic amino acid pathway, or derivative pathways thereof and (ii) a downstream processing phase, in which the
10 produced L-3,4-dihydroxyphenylalanine is extracted from the fermentation medium and reextracted into a reextraction mixture and in which process the pH of the fermentation medium and/or of the reextraction mixture containing L-3,4-dihydroxyphenylalanine is between 1-7 during at least part of the process.

15

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DSM Biotech GmbH

<120> Process for the preparation of L-3,4-dihydroxyphenylalanine by aerobic fermentation of a microorganism

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